

### Audit Committee & Internal Audit Document Library

<u>TOPIC</u>	<u>DATE ISSUED</u>	<u>VERSION</u>	<u>UPDATES FROM PRIOR VERSION</u>
<b>AUDIT COMMITTEES</b>			
<i>REGULATIONS</i>			
Audit Committee Regulation	September 10, 2010 Amended July 10, 2015	n/a	
<i>RESOURCE DOCUMENTS</i>			
Timing of Audit Committee's Key Activities Open vs. Closed Sessions of Audit Committee Meetings	March 2017	1	New document
External Member Recruitment Ad	November 2015	1	New document produced by Deloitte
External Member Letter of Appointment	January 12, 2012	3	
Evaluation of Regional Internal Audit - Audit Committee	January 12, 2012	3	
Audit Committee Recruitment Brochure	September 2016	3	See 2016:SB31
Guideline on Audit Committee Reporting	January 12, 2012	2	
Guideline on Audit Committee Reporting	June 2017	5	To reflect changes to the annual audit committee report to the ministry and other minor updates Updated formatting, added clarification to some responsibilities, other minor updates
Audit Committee Meeting Schedule	June 2017	4	
Confidentiality Agreement	November 10, 2010	1	
Evaluation of External Auditor	September 9, 2011	1	
Compliance Report	January 12, 2012	1	
Self-Assessment Tool	September 5, 2013	2	
<i>TRAINING</i>			
Trustee PD Program - Module 19 Audit Committees & Internal Audit Training (2015)	February 2016	n/a	New
Power Point Slides	January 2015	n/a	
Videos	March 2011	n/a	
	January 2012	n/a	

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<b>INTERNAL AUDIT</b>			
IIA Code of Ethics	January 1, 2009	n/a	
Internal Audit Mandate	September 2016	2	See 2016:SB31
Internal Audit Regions	November 15, 2013	2	
<i>REGIONAL INTERNAL AUDIT PERFORMANCE REVIEW</i>			See 2016:SB31
Evaluation Process Guideline	September 2016	3	
Audit Committee Evaluation Form	September 2016	3	
Management Evaluation Form	September 2016	1	
Self-Evaluation Form	September 2016	1	
Client Satisfaction Survey	September 2016	3	
<i>INTERNAL AUDIT GUIDELINES</i>			
Hiring & Termination	May 5, 2010	2	
Conflict Resolution	October 21, 2010	3	
Internal Audit Staffing	September 9, 2010	2	
Internal Audit Process	June 21, 2010	1	
Establishing the Internal Audit Plan	October 21, 2010	2	
Internal Audit Expenditure Envelope	February 28, 2014	3	
<i>INTERNAL AUDIT TOOLS</i>			
Audit Planning & Scoping Checklist	March 30, 2011	1	
Confidentiality Agreement	September 9, 2010	1	
Planning Memorandum	March 30, 2011	1	
Engagement Letter	January 12, 2012	1	